

Sector

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → +

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/918,969
Filing Date	July 30, 2001
First Named Inventor	Stringham, Roger
Group Art Unit	3641
Examiner Name	Unassigned

11 Attorney Docket Number 2923.03-2.1

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

John C. Merchant (Reg. No. 45,996)/Finley & Berg, LLP

Signature

Date

November 13, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 13, 2001

Typed or printed name

Florence S. Leto

Signature

Date

November 13, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/918,969	07/30/2001	Roger Stringham	2923.03-2.1

CONFIRMATION NO. 3144

24001
FINLEY & BERG, LLP
455 MARKET STREET
SUITE 1940
SAN FRANCISCO, CA 94105-2448

FORMALITIES LETTER



OC000000006549683

Date Mailed: 09/13/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Initial Application of

Stringham, Roger

Serial No.: 09/918,969

Filed: July 30, 2001

For: APPARATUS FOR PRODUCING
ECOLOGICALLY CLEAN ENERGY

Group Art Unit: 3641

Examiner: Unassigned

San Francisco, CA 94105

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on November 13, 2001.

Florence S. Leto

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

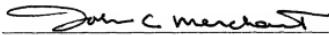
Pursuant to the Notice to File Corrected Application Papers dated September 13, 2001, transmitted herewith for filing with the above-referenced application are substitute drawings for the aforementioned patent application that comply with 37 CFR 1.84. A copy of the Notice is enclosed herewith.

This response is being made within two (2) months of the mailing thereof.

The Commissioner is hereby authorized to charge any fees which may be required to Account No. 16-1565. A duplicate of this letter is enclosed.

Respectfully submitted,

November 13, 2001



John C. Merchant (Reg. No. 45,996)
FINLEY & BERG, L.L.P.
455 Market Street, Suite 1940
San Francisco, California 94105-2448
Phone: 415-882-7024
Facsimile: 415-882-7034
E-mail: lawyers@sf-iplaw.com
Attorneys for Applicant

MNB:f1
File No.: 2923.03-2.1

Attachments: